

**Jim Doyle**  
Governor

**WISCONSIN DEPARTMENT OF  
REGULATION & LICENSING**



**Donsia Strong Hill**  
Secretary

1400 E Washington Ave  
PO Box 8935  
Madison WI 53708-8935  
Email: [web@drl.state.wi.us](mailto:web@drl.state.wi.us)  
Voice: 608-266-2112  
FAX: 608-267-0644  
TTY: 608-267-2416

**ACCOUNTING EXAMINING BOARD SCREENING PANEL**

**Room 180, 1400 East Washington Avenue, Madison WI**

**Contact: David O'Connell (608-261-4490)**

**Thursday, May 6, 2004**

**8:30 A.M.**

1. Call Open Session to Order
2. Convene to Closed Session to consider discipline (s. 19.85(1)(b), Stats., and to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.
  - a. Screen complaints received from the public against credential holders. The Panel consists of 2 Board members and Department staff.
3. Reconvene to Open Session
4. Vote on Items Considered or Deliberated Upon In Closed Session
5. Adjournment

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**ACCOUNTING EXAMINING BOARD MEETING**

**Room 180, 1400 East Washington Avenue, Madison WI**

**Contact: Jerry Lowrie (608) 261-4486**

**Thursday, May 6, 2004**

**9:00 A.M.**

1. CALL TO ORDER
  - a. Declaration of Quorum
2. Adoption of Agenda
3. Approval of Minutes
  - a. Board Meeting (2/5/04)
4. Administrative Report, Jerry Lowrie, Bureau Director, Bureau of Business and Design Professions
  - a. Introduction of New Board Members
  - b. Recognition of Retiring Board Members
  - c. Board Roster
  - d. 2004 Meeting Dates
  - e. Summary Reports on Pending Court Cases, Disciplinary Cases and Administrative Rules and Monthly Press Release of Disciplinary Orders
  - f. Act 118- Changes to the Administrative Rule Process
  - g. Regulatory Digest Newsletter Articles
5. Peer Review Advisory Committee
  - a. Approval of Minutes
  - b. Discussion and Update of Committee-Jim Johnson
  - c. Acceptance of AICPA Program
  - d. Renewal-DRL Responsibilities
    - i. Renewal Form #2348 Draft
    - ii. Letters to Firms
  - e. AICPA Letter- New Structure for Peer Review Firms that Audit SEC Issuers
6. NASBA Related Issues
  - a. Eastern Regional Meeting June 23-25, Orlando, FL
  - b. Nomination of James W. Goad for NASBA Vice-Chair

- c. CPA Honor Roll of States
- 7. Administrative Rules
  - a. Status of Clearing House Rule 03-071
- 8. Practice Issues
  - a. Unauthorized Practice of Law letter
  - b. Temporary License and UAA Section 23
  - c. NASBA Exposure Draft Discussion
- 9. Examination/Education/Application Issues
  - a. CPA Examination Update-Darwin Tichenor
  - b. Review of Verification of Employment and Experience Evaluation (Form 127) –Jan Bobholz
  - c. Ethics Education for Future CPAs – Letter From American Accounting Assoc.-

Information Only

- 10. Board Member Activity
- 11. Visitors Comments
- 12. Presentation of Proposed Stipulations by Division of Enforcement or any that may be Submitted after Printing of Agenda
- 13. CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.; consider closing disciplinary investigation with administrative warning\_(s. 19.85(1)(b), Stats. and 440.205, Stats., to consider individual histories or disciplinary data (s. 19.85 (1)(f), Stats.; and, to confer with legal counsel (s. 19.85(1)(g), Stats.)
  - a. Monitoring Report or any that may be Submitted after Printing of Agenda.
  - b. Case Closings and Case Status Report or any that may be Submitted after Printing of Agenda.
    - i. 02 ACC 017
  - d. Deliberation on Stipulations, Administrative Warnings and/or Disciplinary Actions or any that may be Signed after Printing of Agenda
  - e. Pending Applications or any that may be Submitted after Printing of Agenda.
    - i. Anderson ZurMuehlen & Co.
  - g. Reinstatement Requests or any that may be Submitted after Printing of Agenda.
  - h. Examination Issues
- 14. RECONVENE TO OPEN SESSION
- 15. Vote on Items Considered or Deliberated Upon in Closed Session, If Voting is Appropriate
- 16. New Business
- 17. Such Other Matters as Defined by Law
- DOE – Signatures for Proposed Stipulations, Orders and Administrative Warnings.
- 18. Next Meeting Agenda Items
- 19. ADJOURNMENT

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MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC UNLESS OTHERWISE NOTED. IF A BOARD ADJOURNS TO CLOSED SESSION, RECONVENES IN OPEN SESSION TO VOTE ON ITEMS CONSIDERED IN CLOSED SESSION, AND DURING VOTING DETERMINES THAT ADDITIONAL DELIBERATIONS ARE NECESSARY FOR VOTING, THE BOARD MAY ADJOURN TO CLOSED SESSION TO CONTINUE DELIBERATIONS AND THEN RECONVENE INTO OPEN SESSION FOR VOTING.

Meetings and hearings may be cancelled without notice. Times listed for meeting items are approximate and depend on the length of discussion and voting. The board may also consider in closed session, stipulations filed after the printing of this notice. Meetings may be confirmed by a telephone call to the listed contact person. Examinations are open only to authorized candidates. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. All meetings are held at 1400 East Washington Avenue, Madison, Wisconsin, unless otherwise noted. For a complete copy of the board's agenda, contact the board listed above. Interpreters for the hearing impaired provided on request. Contact the Affirmative Action Officer, (608) 266-2112.